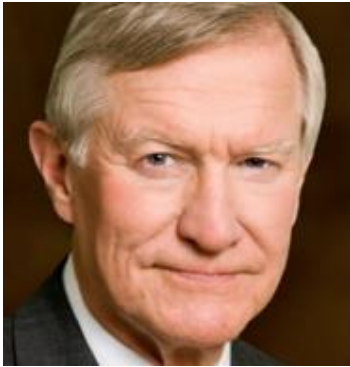


James M. Rhodes



Jim Rhodes is an attorney admitted to practice in the States of New York and Texas. A litigator, arbitrator and mediator with extensive international and domestic experience, as well as experience as a business executive and house counsel, he has served as an arbitrator or mediator in commercial and investment disputes, particularly in the international sector. He specializes in high-conflict, complex disputes.

He is a former partner with Battle Fowler (now Paul Hastings LLP) and Coudert Brothers, where he was engaged in a litigation, arbitration and antitrust practice. While at Battle Fowler, he headed the firm's Antitrust and International Trade practice groups. As a partner at Coudert Brothers, Jim was also the firm's hiring partner for three years. Earlier in his career, he was an associate at Simpson Thacher & Bartlett, and a staff attorney with the Antitrust Division.

In addition to his legal career, Jim has a business background. He served as counsel and principal in several investment firms which were involved in the acquisition and disposition of commercial real estate properties and privately-held companies. He is Chairman of S. Gumpert Co. of Canada, Ltd., a privately-held, Canadian food company. From 1989 to 1992, Jim was President and General Counsel of Stanwich Financial Services, Inc., which was involved in the acquisition of portfolio assets from financial institutions.

Areas of Expertise:

- BANKING/LENDER LIABILITY
- COMMERCIAL DISPUTES
- EMPLOYMENT
- INTELLECTUAL PROPERTY
- TRADEMARK & COPYRIGHT

Practice Areas:

- Banking and financial services
- Bankruptcy, liquidation, work-outs and restructuring
- Breach of fiduciary duty
- Contract disputes
- Distribution, franchise and supply contracts;
- Employment and partnership disputes
- Licensing and intellectual property
- International trade



- Investment fraud
- Mergers, acquisitions and joint ventures
- Partnerships
- Securities
- Shareholders

Memberships:

- AAA Commercial Panel
- ICDR panel of international arbitrators
- ICC Arbitration Panel
- AAA Panel of Mediators
- CPR Panels: Banking, Accounting and Financial Services, Franchise-Northeast, Cross-Border and New York City ADR
- FedArb Panel of Participating Commercial Arbitrators
- FINRA Board of Arbitrators
- Arbitration Panel of the U. S. District Court for the EDNY
- Roster of Mediators for the U.S. District Court for the EDNY
- Roster of Neutrals for the Commercial Division ADR Program of the Supreme Court of the State of New York, New York County
- Roster of Mediators for Civil, General Equity and Probate cases of the New Jersey courts.

Associations:

- New York City Bar Association (International Commercial Disputes Committee, Committee on International Legal Practice, Construction Committee; formerly a member of the Arbitration Committee (two terms))
- New York State Bar Association (Dispute Resolution, International Sections)
- American Bar Association (Antitrust, Dispute Resolution, International Sections)
- S. Council for International Business (USCIB/ICC Arbitration Committee)
- Board of Advisors of the Institute for Transnational Arbitration
- Advisory Board of the Center for Global Energy, International Arbitration and Environmental Law at the University of Texas School of Law
- Founding member of The International Arbitration Club of New York

- Advisory Committee on International Investment Law and Policy, Vale Columbia Center on Sustainable International Investment at Columbia Law School

Arbitration Experience:

Over a forty-plus year career Jim has served as advocate, chairman, co-panelist or sole arbitrator in many commercial, investment or securities arbitrations conducted under the rules of various institutions, including the ICC, AAA, FINRA and ICDR.

Mediation Experience:

In addition, during his career his practice has included work as mediator or advocate in mediation of commercial disputes.

Admissions:

- New York (1971)
- State Bar of Texas (1965)
- U.S. Supreme Court
- Court of Appeals for the 2nd Circuit
- Court of Appeals for the Federal Circuit
- Various U.S. District Courts

Select Publications:

- Obtaining Evidence From Non-Parties in International Arbitration in the United States (The American Review of International Arbitration, Vol. 20, No. 4), New York City Bar Association International Commercial Disputes Committee, Member of Subcommittee that drafted the Report
- Environmental Disputes Mediation: Public Construction Projects; New York State Bar Association Dispute Resolution Lawyer, Spring 2010, Vol. 3, No. 1
- Obtaining Discovery in Aid of International Commercial Arbitration – Applicability and Best Practices, 28 U.S.C. 1782, NYCBA Committee on International Commercial Disputes, 2008, Member of Subcommittee that drafted the Report
- E-Discovery & Securities Arbitration, Bloomberg Law Reports, Securities Arbitration, vol. 2, no. 11, December 2006
- The Arbitrability of U.S. Antitrust Claims, The International Arbitration News, vol. 6, no. 1, Spring 2006
- NAFTA Binational Panel Decisions, The International Lawyer Year in Review, 2005
- The Pitfalls of International Commercial Arbitration, Vanderbilt Journal of Transnational Law, vol. 17, no. 1, Winter 1984

Recent Conferences and Presentations:

- Program Faculty and Speaker: NYSBA Dispute Resolution Section Commercial Arbitration Training, Benjamin N. Cardozo School of Law, New York City, June 28-30, 2011
- Program organizer and Speaker: Roundtable on Third Party Funding of International Arbitration: The Newest “New New Thing”, NYSBA Dispute Resolution Section and Fordham University School of Law: Fordham Law School, New York City, June 15, 2011;
- UNCITRAL Working Group III (Online Dispute Resolution), participating observer for New York City Bar Association, United Nations, New York, NY, May 23-27, 2011
- Program Co-Chair and Panel Moderator: Topics in International Arbitration. 2011 Annual Meeting of New York State Bar Association Dispute Resolution Section, New York City, January 27, 2011
- UNCITRAL Working Group on Arbitration and Conciliation, participating observer for the New York City Bar Association, United Nations, New York, NY, February 9-13, 2009
- Panelist, Critical Junctures in Mediation and How Best to Handle Them, New York Marriott Marquis, January 29, 2009
- Arbitrator, 14th Annual Willem C. Vis International Commercial Arbitration Moot, Vienna, Austria, March 30-April 5, 2007

Recent Mediation Training:

- Mediation in the mainstream training, U.S. District Court for the EDNY, October 18, 2011
- Negotiation training, American Arbitration Association, September 28, 2011
- Cross Cultural Mediation, U. S. District Court, EDNY ADR Department, July 12, 2010
- Conflict Resolution: ADR in the Private & Public Sectors, Benjamin N. Cardozo School of Law, November 5, 2009
- Bridging Differences: ACRGNY Sixth Annual Conference, Benjamin N. Cardozo School of Law, June 26-27, 2008
- CPR Mediation Training for Court Mediations, United States District Court, SDNY, June 28-29, 2007
- Securities Arbitration & Mediation Hot Topics 2007, NYCBA, June 5, 2007
- CDRCP NYC Advanced Mediation Training, New York, NY, January 5, 2007

Education:

- Rice University, B.A. (1962)
- University of Texas School of Law, J.D. (1965)