



# Jack P. Levin, Esq.

For nearly forty years, Jack Levin litigated in courts and arbitral forums throughout the United States, beginning his career with a federal clerkship and then with Davis Polk & Wardwell. In 1983, he was a founding partner of Howard, Darby & Levin and its successor firm, Howard, Smith & Levin LLP, which in 1999 merged with Covington & Burling LLP. Beginning in the late 1990's, he began to acquire training and experience in mediation and arbitration, as clients increasingly sought conflict resolution with reduced cost and risk. In 2001, while a Covington partner, he served as acting head of litigation (North America) for UBS Warburg. He took Retired Partner status from Covington in 2011 to work full-time as an independent domestic and international mediator, arbitrator and creator of conflict solutions.

## **Areas of Expertise:**

- ACCOUNTING/FINANCE
- APPELLATE
- ATTORNEY FEE/MALPRACTICE
- BANKING/LENDER LIABILITY
- CIVIL RIGHTS
- CONTRACTS
- EMPLOYMENT / LABOR
- INSURANCE / REINSURANCE
- IP (TRADEMARK & COPYRIGHT)
- INTERNATIONAL ARBITRATION
- LICENSING (SOFTWARE & TECHNOLOGY)
- PATENT
- PHARMACEUTICALS
- REAL ESTATE
- SECURITIES/FINANCIAL SERVICES
- TRADE SECRET

## **ADR Experience and Qualifications:**

- Arbitrated 70 matters since 2011
- Mediated 170 matters since 1999
- Commercial, Large Complex Case and Master Mediator Panels of the American Arbitration



Association



- Arbitrator Panel of the International Centre for Dispute Resolution
- Fellow, College of Commercial Arbitrators
- Fellow, Chartered Institute of Arbitrators
- Banking, Accounting and Financial Services, Cross-Border and Employment Panels of CPR: The International Institute for Conflict Prevention and Resolution
- CEDR (Centre for Effective Dispute Resolution) Mediator (Investor-State Disputes)
- Mediation Roster of FINRA DR (Financial Industry Regulatory Authority Dispute Resolution)
- Special Master of the Appellate Division, First Judicial Department (New York)
- Mediation Panels of the Commercial Division of Supreme Court New York County and the U.S. District Court for the Southern District of New York

## **Representative Matters:**

#### As an advocate:

- Claims by an investment trust against a public company, its law firm and a Big Four auditor for fraud in connection with a private placement
- Claims by domestic companies and foreign banks against a bank arising out of the demise of Integrated Resources
- Bondholder claims against RJ Reynolds and KKR arising out of a leveraged buy-out
- Class actions against investment banks
- Claims against a manufacturer in connection with the termination of a distributor
- Hostile tender offer between two life insurance companies
- Fee claim by insurance industry investment boutique in connection with acquisition of an insurance company
- Claims of corporate raiding between investment banking firms
- Claims by customers of introducing firms against clearing firm
- Fee claims by a medical technology investment banking boutique in connection with sales of medical device companies
- Claims by Japanese electronics manufacturer against a global bank in connection with a fraud on numerous Japanese companies
- Claims by a drilling company in connection with a fraudulent energy "roll-up"
- Claims relating to the executive buy-out of a securities firm affiliate
- Claims by a global bank against Sallie Mae concerning student loan programs
- Claims against a Liechtenstein bank concerning receipt of stolen securities

#### As an arbitrator or mediator:

• Claims between pharmaceutical firms for breaches of license agreements, non-reporting of sales, non-payment of royalties and improper changes in accounting methods



- Claims and cross-claims in consolidated arbitrations between a provider of consumer credit services and a global bank arising out of a federal consent decree
- Claims by purchasers of mortgage-backed securities against issuers / Claims by issuer of mortgage-backed securities against multi-line insurers
- Claims by a state pension fund against a global bank
- Fraud claims by investors in a Cayman Islands corporation
- Infringement claims between internet marketing firms
- Wrongful termination, "raiding," non-competition, discrimination and other employmentrelated claims in the financial services, investment advisory, private equity, securities clearing, information technology and law firm industries.
- Claim for breaches of agreement to repair and maintain commercial jet engines
- Claims between defense contractors relating to the Iraq War
- Claim for breach of contract by a software services provider against a major hospital corporation
- Claim by a distributor of electrical energy against a power plant for excessive pricing
- Claim by a public company against a Big Four auditing firm arising out of the auditor's lack of independence
- Claim by a major medical center against its auditing firm for failure to detect embezzlement
- Claim by a former CEO of waste management company for appraisal rights to his shares in affiliated companies

## Selected Presentations, Publications and Honors:

- Judge Judith O. Hollinger ADR Program Third Annual ADR Symposium, "Current Issues in International Arbitration," Speaker, USC Gould School of Law, March 2018
- Tutor, Fast Track Program to Fellowship in International Arbitration, Chartered Institute of Arbitrators, 2014, 2016
- Faculty, New York State Bar Association, Commercial Arbitration Training, 2011, 2013, 2015, 2016, 2018
- CPR Brazil Business Mediation Congress, São Paulo, 2014
- Co-Panelist, "What It Takes To Be An Effective International Mediator," International Arbitration Club of New York, May 2012
- Co-author, "Mistakes Mediators Make," NEW JERSEY LAWYER MAGAZINE, New Jersey State Bar Association, April 2011
- Congress Moderator, CPR European Congress, Vienna, Austria, May 2008; Panelist, "Crafting Dispute Management Clauses as a Matter of Organizational Practice & Policy," 2008 CPR Institute Annual Meeting
- New York Super Lawyers (2007-2018) (2009-2018 for Alternative Dispute Resolution)
- Who's Who Legal: Mediation 2016-2018



## **Former Positions:**

- Partner, Covington & Burling LLP, 1999-2011
- Director of Litigation, UBS Warburg (North America), 2001
- Partner, Howard, Smith & Levin, 1998-99
- Partner, Howard, Darby & Levin LLP, 1983-98
- Associate, Davis Polk & Wardwell, 1972-83
- Law Clerk, U.S. District Judge Murray I. Gurfein, Southern District of New York, 1971-72

## **Admitted to Practice:**

- New York (1972)
- New Jersey (1972)

## **Education:**

- J.D., University of Pennsylvania Law School (1971)
- B.A., Rutgers College (1968)